

# IOWA PLUMBING AND MECHANICAL SYSTEMS BOARD JOINT CONTRACTOR LICENSE & REGISTRATION RENEWAL APPLICATION INSTRUCTIONS

Completed applications may be submitted with applicable fees to:

Iowa Plumbing and Mechanical Systems Board Iowa Dept. of Public Health 321 E 12th Street Des Moines, Iowa 50319 Visit our website at <a href="http://idph.iowa.gov/PMSB">http://idph.iowa.gov/PMSB</a> for additional information on licensure requirements. For questions, call toll free (866) 280-1521.

An application is not considered complete and will not be processed until all items have been submitted, including fees. Please allow up to four weeks for processing. Applications and payments are not accepted over the phone.

**Part 1 – Business Information.** Please write legibly and complete each question. Iowa law requires contractors to maintain and provide a permanent business address as part of the application. This address may be different than the mailing address, but must be provided for all applications. If you provide a valid email address, your license certificate and wallet card will be emailed directly to you as soon as your license application is approved.

**Part 2- Screening Questions.** All applicants must answer questions #1 to #3. Sole proprietors must also answer questions #4 through #6. If you answer "Yes" to any of the questions, your application may be referred to the board for additional review. You must answer "Yes" even when a conviction or judgment has been deferred or expunged from your record. Please provide any pertinent details and documentation with your application.

**Part 3 – Contractor Registration.** Pursuant to Iowa Code chapter 91C a contractor must also maintain registration as a contractor with the Iowa Division of Labor. These requirements are separate from the requirements for contractor licensure with the Plumbing & Mechanical Systems Board (PMSB). This application form allows you to submit a single application for both your license (issued by PMSB) and your contractor registration (issued by the Iowa Division of Labor). The information in this section is **required** by the Division of Labor to issue your contractor registration.

#### **Unemployment Insurance Number (UI#)**

You must obtain an unemployment insurance number before applying for contractor registration even if you have no employees. To obtain a UI# visit <a href="www.myiowaui.org">www.myiowaui.org</a> or contact customer service at 888-848-7442 or <a href="https://www.myiowaui.org">IWDuitax@iwd.iowa.gov</a>.

#### **Fee Exemption**

Self-employed contractors who meet the specific criteria may send a completed fee exemption form instead of payment for the contractor registration portion of the fee. To qualify for registering without payment, a self-employed contractor must not work with or for other contractors in the same phases of construction and must not pay more than \$2,000.00 per year to employ others. NOTE: This exemption applies only to the registration portion of the fee and does NOT apply to the licensing fee. You must be able to answer YES to the questions in this section to qualify for the exemption. You must have Attachment B Fee Exemption Form notarized and attached to the application.

#### Part 4 – Division of Labor Workers' Compensation Insurance Information.

A contractor with one or more employees must submit proof of workers' compensation insurance. In most cases employers must submit a certificate of insurance showing an effective date and listing the Division of Labor as a certificate holder. A self-insured contractor shall submit a Certificate of Relief from the Iowa Insurance Division.

#### Part 5 – Division of Labor Out-of-State Contractor Bond Information.

Out-of-state contractors must file a \$25,000.00 surety bond at the time of registration and renewal. The bond must be issued by a surety company licensed to do business in Iowa on the out-of-state bond form provided at the end of this

application. However, an out-of-state contractor that is pre-qualified to bid on projects for the Iowa Department of Transportation may submit a letter. For questions about the out of state contractor bond, contact the Division of Labor at contractor.registration@iwd.iowa.gov or 515-242-5871.

Part 6— Public Liability Insurance Information. Complete this section by filling in all details about your public liability insurance. Sole Proprietor: If the applicant operates the contractor business as a sole proprietorship, provide the board with evidence that the applicant personally obtained the policy (ex: Joe Smith DBA Joe Smith Plumbing). Firm/Legal Entity: If the applicant operates the contractor business as an employee or owner of a legal entity, provide the board with evidence that the insurance policy is obtained by the entity and that the insurance covers all plumbing or mechanical work performed by the entity.

- A minimum of \$500,000 general business liability coverage issued by an entity licensed to do business in this state.
- The certificate holder needs to be listed as the lowa Plumbing and Mechanical Systems Board, 321 East 12<sup>th</sup>
   Street, Des Moines, Iowa 50319.
- The certificate provided to the board must identify that the public liability insurance policy shall not be canceled without the entity first giving 10 days written notice to the board.
- A copy of the certificate must be provided with the application.

Part 7— Surety Bond Information. Complete this section by filling in all details about your surety bond. Applicant must provide the board with evidence of a surety bond issued by an entity licensed to do business in lowa in a minimum amount of \$5,000. Sole Proprietor: If the applicant operates the contractor business as a sole proprietorship, provide the board with evidence that the applicant personally obtained the surety bond. Firm/Legal Entity: If the applicant operates the contractor business as an employee or owner of a legal entity, provide the board with evidence that the surety bond was obtained by the entity and that the surety bond covers all plumbing or mechanical work performed by the entity.

- Minimum amount of \$5,000.
- The obligee for the bond must be listed as the customers of the principal. It cannot be the state of lowa or the Board. There have been bonds approved that used language such as, "licensee's lowa customers" or "Person(s) injured by Principal's breach of construction contract."
- The surety bond also needs a 10 day (minimum) cancellation notice provided to the **lowa Plumbing and**Mechanical Systems Board. A copy of the certificate must be provided with the application.

**Part 8 – Division of Labor Other Business Member Contact List.** Provide contact information for an additional owner, officer, partner or member of the business, if applicable.

**Part 9 – Master of Record Information.** Mark the appropriate circle(s) to identify the trade disciplines in which plumbing or mechanical systems work is performed. A licensed master of record must be associated with each trade discipline. Note: mechanical work includes HVAC/R and Hydronics. Only one master of record per trade discipline will be accepted. "Master of record" means an individual possessing an active master license in lowa who shall be responsible for the proper designing, installing, and repairing of the plumbing HVAC, refrigeration, sheet metal or hydronic work of the person. For a sole proprietorship, the business owner must be a licensed master in the applicable discipline as required by lowa Code section 105.10(2). Attach and complete a master of record certification form for each person listed. (Attachment A)

**Part 10 – Applicant's Signature.** Read the statement, sign and date the application. An applicant is responsible for the accuracy of the information provided, regardless of who completes and submits the applicant's licensure application.

**Master of Record Certification Form (Attachment A).** The master of record (MOR) for each of the trade disciplines named must complete and sign this form indicating that the person agrees to serve as MOR for the business. If you have more than one MOR, copy this form and submit a separate form for each individual. If the same individual is serving as MOR in multiple trades, only one form per person is required. Sole proprietor must personally hold the master license(s).

**Fee Exemption Form (Attachment B).** Attach this form if you are requesting a fee exemption from the contractor registration portion of the fee. The form must be notarized and you must meet all of the conditions specified on the form.

#### **Fees**

The fee due is based on the date the license originally expired, plus any late fees, and paper application fees. Once renewed, the license and registration would be valid until June 30, 2020. For contractor licenses that expired on June 30, 2017, the following fee breakdown is applicable.

Contractor license fee due = \$250\*

Contractor registration fee due \$150 (unless exempt and notarized fee exempt form included)

Late renewal fee due =\$100Plus paper application fee $\pm$ \$25Total due for paper application =\$525

If you qualify for an exemption for the IWD portion of the fee, the total amount due for a paper application would be \$375.

\*If you are renewing more than one <u>active</u> master, contractor, or journeyperson license in one or more disciplines *for the same person*, a fee discount of 30% may be deducted from the license application fees. To receive the 30% discount all licenses must be for the **same individual** and **purchased in the same transaction**. The 30% discount does not apply to the paper application fee, late fees, or the registration portion of the fee. If applying online, the discount will calculate automatically (remember both applications must be submitted on the same day and be for the same person).

If the license expired between February 2018 and June 2018, add an additional pro-rated license fee of \$41.75 to cover the period from Feb 2018 – June 30, 2017, for a total due of \$566.75.

NOTE: If your contractor license expired more than one year ago, it can no longer be renewed. You must submit an application to obtain a new contractor license, including all required bond and insurance documents.

#### **Checklist for application**

Application form completely filled out (all 4 pages).
Part 2, Screening Questions, questions 1-3 have been answered. Sole proprietors must answer questions 1
through 6. An explanation for any "Yes" response provided and any supporting documentation attached.
Part 3, Contractor Registration Details. Answer all questions. You must have an unemployment
insurance account number even if you have no employees.
Part 4, workers compensation insurance certificate or certificate of relief is attached, unless you have no
employees.
Copy of certificate of professional liability insurance has been attached.
Copy of surety bond has been attached.
Master of Record Certification Form (Attachment A) has been filled out, read, signed & dated, by each
Master of Record.
Check or money order, made payable to the Iowa Plumbing & Mechanical Systems Board (or PMSB).
Completed form, attachments & fee enclosed & mailed to board office. Mail to: PMSB – Iowa Dept. of
Public Health; 321 E 12 <sup>th</sup> St; Des Moines, IA 50319.

For questions call toll-free (866) 280-1521 or email <a href="MSB@idph.iowa.gov">PMSB</a> or visit the board website at <a href="http://idph.iowa.gov/PMSB">http://idph.iowa.gov/PMSB</a>. For questions about the unemployment insurance requirement, workers compensation requirements, or out of state contractor bond, contact the Division of Labor at <a href="mailto:contractor.registration@iwd.iowa.gov">contractor.registration@iwd.iowa.gov</a> or 515-242-5871.

#### Contractor Registration lowa Division of Labor

1000 East Grand Avenue Des Moines, IA 50319-0209

Phone: 515-242-5871 Fax: 515-725-2427

iowadivisionoflabor.gov/contractor-registration

contractor.registration@iwd.iowa.gov

# BOND REQUIREMENTS FOR OUT-OF-STATE CONTRACTORS

With limited exceptions each contractor with a principal place of business outside of lowa must file a \$25,000 bond in order to register. Having a branch office in lowa does not exempt a contractor from the bonding requirement.

The bond guarantees that you pay all taxes, penalties and other monies due to the State of Iowa as a result of your work in Iowa. Only the State of Iowa and its agencies or divisions can collect under the bond. The bond does not cover any other debts.

You must file a bond with original signatures and original surety company seal, when applying for your construction contractor registration number. Your bond must be executed by a surety company licensed to do business in lowa, and it must be issued on the bond form provided by the lowa Division of Labor. Bond effective date must match application date. In most cases, you must attach your surety company's power-of-attorney. **Bonds**must be attached to the application or renewal form. Contractor Registration

numbers WILL NOT be assigned without a bond.

## **Existing Bonds on File**

If a current \$25,000 bond is on file, a continuation bond MUST be filed at the time of renewing. Bonds shall NOT exceed the one (1) year statutory period unless a continuation is filed or the bond is cancelled by the surety company, with not less than thirty (30) days' written notice to the lowa Division of Labor.

# False Information

Supplying false information with a contractor registration application may result in a \$500 civil penalty and criminal prosecution.

# **Exemptions**

Instead of submitting a bond, a contractor may submit with the contractor registration application or renewal form, a copy of the contractor's letter from the lowa Department of Transportation stating that the contractor is prequalified to bid on projects pursuant to lowa Code 314.1. The lowa bonding requirement may be suspended due to federal law or rule, or if enforcement would cause denial of federal funding.



# **Plumbing & Mechanical Systems Professionals Joint Contractor License Renewal & Registration Application**

Mail completed application and fee to: Plumbing & Mechanical Systems Board – IDPH

321 E. 12th St.

Des Moines, IA 50319

Part 1. Business Information.						
Business Name				Contractor License Number		
Full Name of Busines	ss Owner					
(First Mic	ldle Last)					
Business Email	<u> </u>		Owner Email			
Business Phone		Mobile Number			Fax	
Dormanant Physical						
Permanent Physical Business Address						
City		State			Zip	
Oity		Otate			Σip	
Mailing Address,		1				
If Different						
City		State			Zip	
Please check which a	ddress to display on th	he board website:	☐ Permane	nt 🗆	Mailing	
Federal Tax ID# (FI	EIN)			Numbe	er Provided	
or SSN if sole propried	tor			□ SS	N of Owner or □ Bus	iness FEIN
					6(a)(13), Iowa Code §252	
					child support obligations, c	
shared with taxing authors	orities as allowed by law	iowa, and as an inte	ernai means to a e 8 421 18	ccurate	y identify licensees, and n	nay also be
	Corporation () Firm/l			le Prop	rietor/Individual Owners	ship
7,1		, <u> </u>	1 0 11			'
Part 2. Screening	ı Questions.					
		ed by all annlica	nte If you ans	wer "Ve	es" to any questions bel	ow (1) attach
					, location(s), status, rea	
					ations, and (3) attach a	
official court documen	nts regarding your conv	viction/malpractice	e suit, including	g final d	lisposition or settlement	
answer "Yes" even wl	hen a conviction or jud	lgment has been o	deferred or exp	ounged	from your record.	
1. Has any state or ot	ther jurisdiction of the	United States or a	any other natior	n ever l	imited, restricted,	∩Yes
warned, censured, pla	aced on probation, sus	spended, revoked	, or otherwise	discipli	ned a professional	)
license, permit, registration, or certification issued to you or the organization?						
2. Have there ever been judgments or settlements paid on your behalf or the organization's Yes						
behalf as a result of a professional liability case?						
3. Have you or the organization ever had a license, permit, registration, or certification Yes						
denied, suspended, revoked, or otherwise disciplined by a certification body?						
If you answered Yes to any of the above questions please provide a detailed explanation. Attach additional sheets,						
signed by you, as necessary.						

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The following additional questions must be answered by sole proprietor applicants only. If you answer "Yes" to any questions below (1) attach a signed letter of explanation providing the details of the incident, including date(s), location(s), status, etc., (2) attach a copy of any court ordered evaluations, including any recommendations, & (3) attach a copy of all official court documents regarding your conviction/malpractice suit, including final disposition or settlement. You must answer "Yes" even when a conviction or judgment has been deferred or expunged from your record.			
4. Do you have a medical condition, which in any way currently impairs or limits your ability to perform the duties of this profession? Medical Condition: means any physiological, mental, or psychological condition, impairment, or disorder, including drug addiction and alcoholism?			
5. Have you, within the past 5 years, engaged in the illegal or improper use of drugs or other chemical substances?			
6. Have you ever been convicted of, or entered a plea of no contest to a misdemeanor or felony crime? (Other than minor traffic violations with fines under \$250). You must answer yes if the court expunged the matter or the court deferred judgment.)			
If you answered Yes to any of the questions 3 to 6, please provide a detailed explanation. Attach additional by you, as necessary.	al sheets, signed		
Part 3. Contractor Registration Details. Pursuant to Iowa Code chapter 91C a contractor must also maintain registration as a contractor with the Iowa Division of Labor and renew registration at the time of license renewal. These requirements are separate from the requirements for contractor licensure with the board. The information provided will be transmitted to the Iowa Division of Labor for your contractor registration. The Division of Labor may contact you if they have questions about your registration details.			
Are you already registered as a contractor with the Iowa Division of Labor?			
If yes, existing Iowa Contractor Registration Number (begins with a C):			
Unemployment Insurance (UI) Account Number:			
Are you requesting a fee exemption from the Division of Labor registration fee? $\bigcirc$ Yes $\bigcirc$ No			
If you select yes, you must attach a notarized copy of the Fee Exemption Form (Attachment B). Note the only applies to the IWD registration fee and NOT the Plumbing & Mechanical Systems Board contractor lic qualify for the fee exemption, you must be able to answer Yes to each of the following questions:			
I do not pay more than \$2,000 per year to employ other persons (do not include yourself) in the business of construction.	Yes     No		
I never perform construction work with or for other contractors working in the "same phase of construction" at the job site. The "same phase of construction" is defined as the same type of work, such as masonry, stonework, electrical work or concrete work, etc.	Yes  No		
If applicable, I have enclosed a list of all current employees and a list of all employees who have worked for me in the past 12 months and the amount paid to each employee.	<ul><li>✓ Yes</li><li>✓ No</li></ul>		
Select your Worker's Compensation Compliance Method:			
<ul> <li>I am insured – enclose copy of Workers' Compensation Insurance certificate listing the Iowa E Labor as a certificate holder.</li> </ul>	Division of		
O I am self-insured – enclose copy of Certificate of Relief issued by the Iowa Insurance Division	l <b>.</b>		

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I have no employees.

Part 4. Division of Labor Worker's Compensation Insurance Information. Insurance Company Name Insurance Company Contact Representative Insurance Company Telephone Policy Number Amount of Policy Effective Date **Expiration Date**  Enclosed is my Workers Compensation Insurance certificate, Certificate of Relief, or I have no employees. Part 5. Division of Labor Out-of-State Contractor Bond Information. **Bonding Company Name Bonding Company Contact Representative** Bonding Company Telephone Amount of Bond **Bond Number** Check Type: Ocontinuation Certificate Effective Date **Expiration Date**  Continuous Renewal Enclosed is a copy of my out of state contractor bond. (Required if you are out of state) Part 6. PMSB Public Liability Insurance Information. Insurance Company Name Insurance Company Contact Representative Insurance Company Telephone Policy Number Amount of Policy Effective Date **Expiration Date**  Enclosed is my current Certificate of Liability Insurance. (Required) Part 7. PMSB Surety Bond Information. **Bonding Company Name Bonding Company Contact Representative** Bonding Company Telephone **Bond Number** Amount of Bond Check Type: Ocontinuation Certificate **Effective Date Expiration Date** Ocontinuous Renewal Enclosed is a copy of my current surety bond. (Required) Part 8. Division of Labor Other Business Member Contact List. Check one: ☐ Additional owner, ☐ Officer, ☐ Partner or ☐ Member Name (First Middle Last) Email address Phone Number Mailing Address City State Zip

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Part 9. Master of Record Information. Identify the trade(s) in which work is performed and provide the name of the Master of Record for each trade. Only one Master of Record will be accepted per trade. Attach and complete the Master of Record Certification Form for each person listed. (Attachment A) **Trade Discipline Master of Record Name Iowa Master License #:** Plumbing Hydronics Mechanical Part 10. Applicant Signature & Affidavit. Please read carefully. You must sign & date for your application to be processed. I certify that I am either (1) a sole proprietor or (2) a business owner of the applicant and am authorized to submit this contractor license application and contractor registration application on behalf of the firm/entity. I certify that I have read all requirements pursuant to Iowa Code chapter 105 & Iowa Administrative Code pertaining to contractor licensing, including 641—23.2(105), 641—23.3(105), and 641 IAC Chapter 32. I certify that I have carefully read the questions on this application and have answered them completely and truthfully. I declare under penalty of perjury that the answers, and all other statements or information submitted by me in this application are true and correct. If it is determined at any time that I have provided misleading or false information on, or in support of, this application. I understand that the applicant's license (or mine if applicable) may be subject to disciplinary action, license revocation and criminal prosecution. I also understand that this application is a public record in accordance with Iowa Code chapter 22 and that application information is public information, subject to the exceptions contained in Iowa law. Finally, in submitting this application. I consent on behalf of the applicant/firm/entity to any reasonable inquiry. including a licensing audit that may be necessary, to verify the information I have provided on, or in conjunction with, this application. An applicant is responsible for the accuracy of the information provided, regardless of who completes and submits the applicant's application. Incomplete applications shall be considered invalid after 90 days and shall be destroyed. All fees are nonrefundable. Printed Name of Business Owner: Signature of Business Owner:

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Date of Signature:

Master of Record Certification Form (Attachment A)		
Contractor applications must have a Master of Record Certif business. The purpose of this form is to ensure that the Mas Master of Record (MOR) for the business and understands this form and submit a separate form for each individual.	ster of Record named by the business agrees to serve as the	
Business Name:		
Master of Record Name:	License #:	
"Master of record" means an individual possessing an active	master license under Iowa Code chapter 105 who shall be	
systems;	ng, mechanical, HVAC-refrigeration, sheet metal, or hydronical, HVAC-refrigeration, sheet metal, or hydronic work of the	
I hereby, agree to be the Master of Record, as defined following trade disciplines:	above, for the contractor named on this form, in the	
○ Plumbing ○ HVAC/R ○	Hydronics	
A master may only be a master of record for one contractor is contractor or a master may seek prior board approval to serve particular discipline. An individual who possesses master lice multiple contractors so long as the individual is only a master one time. Without prior board approval, a contractor shall not requirement if the master licensee is simultaneously associated.	we as the master of record for more than one contractor in a senses in multiple disciplines may be a master of record for or of record for one contractor in any particular discipline at bot knowingly utilize a master licensee to meet this	
Yes No Are you currently serving as Master of R hydronics, or mechanical systems?	Record for another licensed contractor in plumbing, HVAC/R,	
If yes, provide name of business and specify the trade discip	lines in which you are serving as master of record:	
Supervision. A master who superintends the design, install or hydronic systems shall be available to supervise journeyp supervision in the discipline or disciplines in which the master unlicensed persons who perform work covered under lowa C required.  A helper for which a license is not required may only perform journeyperson or master. A licensee who utilizes the service	ersons or apprentices as needed and may only provide such er is licensed. A master shall not knowingly supervise Code chapter 105 for which a board-issued license is a general manual labor activities under the supervision of a es of an unlicensed helper shall be responsible for the work	
performed by the helper and shall ensure that such work corpractice.	nforms to the minimum standard of acceptable and prevailing	
Master of Record Signature & Affidavit. Please	read carefully, sign & date.	
I certify that I have read and understood the requirements to above. I hereby agree to be Master of Record for this conti		
I certify that I understand the requirements for providing su unlicensed persons who perform work covered under Iowa required.		
I understand I must notify the board within 30 days in the econtractor. I understand that violation of any of these requimaster license, up to and including license revocation.		
Signature:	Date	

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### Contractor Registration lowa Division of Labor

1000 East Grand Avenue Des Moines, IA 50319-0209

Phone: 515-242-5871 Fax: 515-725-2427

www.iowadivisionoflabor.gov/contractor-registration

contractor.registration@iwd.iowa.gov

# Attachment B

# FEE EXEMPTION FORM

**\$50 yearly (\$150 for three years or pro-rated portion thereof)** contractor registration fee. If your business changes so that you no longer meet the exemption requirements, you must immediately forward the fee to the lowa Division of Labor. If you qualify as fee exempt, sign below and have your signature notarized. Attach an additional sheet for employee data, if necessary.

- I am a self-employed contractor.
- I do not pay more than \$2,000 per year to employ other persons (do not included yourself) in the business of construction.
- I never perform construction work with or for other contractors working in the "same phase of construction" at the job site. The "same phase of construction" is defined as the same type of work, such as masonry, stonework, electrical work or concrete work, etc.
- If applicable, I have enclosed a list of all current employees and a list of all employees who have worked for me in the past 12 months and the amount paid to each employee.

Contractor's signature (only if applying for fee exemption)  Date		
STATE OF		
COUNTY OF		
Signed and sworn to (or affirmed) before	e me on thisday of_	, 20
by		
(name of contractor)		
NOTA	ARY PUBLIC in and for the	State of
Му с	ommission expires	

#### **Contractor Registration Iowa Division of Labor**

1000 East Grand Avenue Des Moines, IA 50319-0209

Phone: 515-242-5871 Fax: 515-725-2427

iowadivisionoflabor.gov/contractor-registration

contractor.registration@iwd.iowa.gov

# Registration number: Date received:

# **OUT-OF-STATE CONTRACTOR BOND FORM**

Bond Number:	Effective Bond Date:				
THAT	of				
(Principal)	(Mailing address)				
	, and				
(City and State)	(Surety)				
twenty-five thousand dollars (\$25,000) law	f lowa, Division of Labor as the holder in the penal sum of ful money of the United States, to the payment of which sum, elves, their heirs, executors, successors, assigns and				
· ·	al is an out-of-state contractor desiring to perform construction pter 91C requires the Principal file this bond.				
	contributions due under the unemployment compensation elated fees which may accrue to the State of Iowa, due to the e renewed by a Continuation Certificate.				
written notice to the Principal and to the lov	til cancelled by the Surety with not less than thirty (30) days' wa Division of Labor, but shall not exceed the one (1) year urrently with the annual term of the Principal's out-of-state ode Chapter 91C.				
The obligation of this bond shall be operational Chapter 91C.	ve until released in the manner provided in Iowa Code				
Executed this day o	f, 20				
Pı	rincipal (signature)				
Si	urety (signature) 600-02 01.12.2016				